



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete If Known		
			Application Number	10/014,960-Conf. #6316	
			Filing Date	December 11, 2001	
			First Named Inventor	Harold A. Ludtke	
			Art Unit	3621	
			Examiner Name	J. M. Winter	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JW	AA*	US-5,323,146	06-21-1994	Glaschick et al.	
	AB*	US-5,475,758	12-12-1995	Kikuchi et al.	
	AC*	US-5,681,807	08-26-1997	Guski et al.	
	AD*	US-5,841,871	11-24-1998	Pinkas et al.	
	AE*	US-5,903,721	05-11-1999	Sixtus	
	AF*	US-5,949,411	09-07-1999	Doerr et al.	
	AG*	US-5,987,134	11-16-1999	Shin et al.	
	AH*	US-6,021,496	02-01-2000	Dutcher et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			

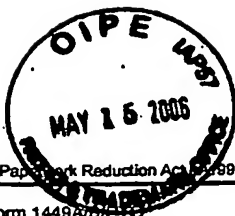
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Examiner Signature	/John Winter/	Date Considered	07/24/2006
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JW	AA*	US-5,131,038	07-14-1992	Puhl et al.	
	AB*	US-5,276,736	01-04-1994	Chaum	
	AC*	US-5,521,890	05-28-1996	Miche et al.	
	AD*	US-5,457,747	10-10-1995	Drexler et al.	
	AE*	US-5,537,314	07-16-1996	MARK W. KANTER	
	AF*	US-5,594,230	01-14-1997	Walte et al.	
	AG*	US-5,598,474	01-28-1997	Johnson	
	AH*	US-5,604,805	02-18-1997	Brands	
	AI*	US-5,696,827	12-09-1997	Brands	
	AJ*	US-5,712,913	01-27-1998	Chaum	
	AK*	US-5,778,384	07-07-1998	Provino et al.	
	AL*	US-5,838,812	11-17-1998	Pare, Jr. et al.	
	AM*	US-6,014,636	01-11-2000	Reeder	
	AN*	US-6,026,491	02-15-2000	Hiles	
	AO*	US-6,108,644	08-22-2000	Goldschlag et al.	
	AP*	US-6,263,446	07-17-2001	Kausik et al.	
	AQ*	US-6,289,323	09-11-2001	Gordon et al.	
	AR*	US-6,314,196	11-06-2001	Yamaguchi et al.	
	AS	US-6,353,811	03-05-2002	Weissman	
	AT*	US-6,560,741	05-06-2003	Gerety, Eugene P. et al.	
	AU*	US-6,655,585	12-02-2003	Shinn, Philip C.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP-9722857	02/1997	OSAMU		
	BB	JP-99164179	06/1999	HIOSHINO		
	BC	JP-9978521	03/1999	TAKEDA		

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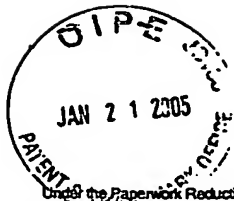
NON PATENT LITERATURE DOCUMENTS			
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V	CA	MCKENDRICK, JOSEPH, Creating a Password for Life from the Tip of a Finger, 11/3/99, ent, v4, n19, p.22.	

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		Filing Date	December 11, 2001		
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		Art Unit	3621		
		Examiner Name	J. M. Winter		
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JW	AA	US-5,537,314	07-16-1996	MARK W. KANTER	

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	10/014,960
Filing Date	December 11, 2001
First Named Inventor	Harold A. Ludtke
Art Unit	2681
Examiner Name	Not Yet Assigned
Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
JW	AA	5,744,787	04-28-1998	Teicher	RECEIVED APR 08 2002 Technology Center 2600
	AB	5,521,362	05-28-1996	Powers	
	AC	5,461,217	10-24-1995	Claus	
	AD	5,221,838	06-22-1993	Gutman et al.	
	AE	5,550,358	08-27-1996	Tait et al.	
	AF	5,748,737	05-05-1998	Daggar	
	AG	5,856,659	01-05-1999	Drupsteen et al.	
	AH	5,878,138	03-02-1999	Yacobi	
	AI	5,936,220	08-10-1999	Hoshino et al.	
	AJ	5,945,652	08-31-1999	Ohki et al.	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	
	CB	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
V	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	

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